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THE UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF OHIO (CINCINNATI) WESTERN DIVISION

OLLEN G. COLBERT. Jr.,	Case No.: 1:00-CV-909 Judge Susan J. Dlott
Plaintiff,	Magistrate Judge Timothy J. Hogan
vs.	
CINERGY, THE CINCINNATI GAS AND ELECTRIC COMPANY,	PLAINTIFF'S AFFIDAVIT IN SUPPORT OF MEMORANDUM IN OPPOSITION TO INTERNATIONAL BROTHERHOOD OF ELECTRIAL WORKERS, LOCAL 1347'S MOTION FOR SUMMARY JUDGMENT
AND	
INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS, LOCAL 1347,	
Defendants.	
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STATE OF OHIO)SS: COUNTY OF HAMILTON

I, Ollen G. Colbert, Jr., undersigned Affiant, having been duly warned and cautioned, do hereby depose and state:

1. I am the Plaintiff in the above captioned case.

- 2. I was employed by Cincinnati Gas and Electric Company on or about April 2, 1990 as a Groundsman at \$6.50 per hour.
- 3. I have been a dues paying member of the International Brotherhood of Electrical Workers, Local 1347, contemporaneously with my employment with Cincinnati Gas and Electric Company.
- 4. After March 31, 2000, when, contrary to my timely request, the Union did not continue to grieve my termination of employment, I discontinued my membership.
 - 5. Effective October 1, 1990, I was given an increase in salary to \$6.60 per hour.
- 6. Effective November 20, 1990, I was promoted to Lineman "C" at \$13.06 per hour. My rate of pay was increased to \$13.83 per hour, effective May, 20, 1991.
- 7. On July 8, 1992, I resigned as a Lineman and was transferred to the Brecon Heavy Equipment and Repairs District where I assumed the position of Construction Helper at the rate of \$12.86.
- 8. On August 4, 1993, I was promoted to Groundsperson Driver "A" at \$14.72 per hour.
- 9. On March 20, 1995, I was demoted from Groundsperson Driver "A" to Construction Helper at \$13.31 per hour from \$15.36 as Groundsperson Driver "A".
- 10. My demotion was caused by an accident that occurred while I was operating a truck boom to move a transformer in Hamilton, Ohio, as well as other disciplinary actions that reflected poor work performance for which I had been disciplined. I grieved this demotion: First Step, May 31, 1995; Second Step, June 15, 1995.
- 11. The Union was able to persuade CG&E to amend its demotion letter of April 7, 1995, with a letter dated August 21, 1995.

- 12. Francis B. Kelly requested a Third Step Grievance Hearing in a letter dated October 10, 1995 on the matter of objectionable documents in Colbert's personal file.
- 13. The result of the Third Step Grievance Hearing was that the Union's letter was revised again by the Company on February 20, 1996, which agreed to remove two of my contested signed warning documents (9-29-93) and (7-14-95) from my personal file as a response to the Union's grievance, June 19, 1995. Grievance No. 11-100-06-95.
- 14. The Union requested by a letter dated May 8, 1996, a Third Step Grievance Hearing on the matter of my demotion, See Grievance No.11-103-04-96.
- 15. The Third Step Grievance Hearing took place on July 17, 1996. The Union requested to know from the Company, in case their response was negative, what I had to do for the Company to allow me to return to my former position as Groundsperson Driver "A".
- 16. The Company responded in a letter dated August 22, 1996, signed by Ronald R. Monson, that the Company denied my grievance, but compromised as follows regarding my getting back the position of Groundsperson Driver "A", as follows:

In response to the Union's question regarding when Mr. Colbert would be allowed to promote to a higher position, Mr. Colbert would need to reverse his trend of poor performance, accidents, etc. for a significant period of time of at least a year or more in order to be considered for promotion to a higher position.

- 17. On October 31, 1996, the Union requested a Fourth Step Grievance Hearing on Grievance No. 11-103-04-96. This Fourth Step Grievance Hearing was scheduled for January 27, 1997, then rescheduled for February 27, 1997.
- 18. As a result of the Fourth Step Grievance Hearing, the Union was notified that the grievance concerning my demotion was denied by the Company in a letter signed by

H. Joseph Kraus, dated March 31, 1997. (Colbert, Depo., 11-11-04, Exhibit [Colbert, Depo., 10-30-97, Exhibit 76]).

19. On May 1, 1997, the Union, withdrew this grievance conditionally and responded in writing to the CG&E as follows:

As a result of the fourth step grievance letter dated March 31, 1997, we have decided to withdraw this grievance under that letter's conditions, "if and when Mr. Colbert maintains a satisfactory work record for a significant period of time, the Company will consider him for future job openings in the Groundsperson Driver "A" job classification"

(Colbert, Depo., 11-11-04, Exhibit 5 [Colbert, Depo., 10-30-97, Exhibit 77]).

- 20. I did not agree with the Union's conditional withdrawal from my Grievance and requested that the Union take my case to Arbitration. The Union's decision not to arbitrate the case was stated later in Francis B. Kelly's Affidavit dated January 13, 2005. "I decided not to pursue arbitration because, based on all the facts and circumstances, I concluded that the grievance was not meritorious and that CG&E would prevail in arbitration as there was no breach of the collective bargaining agreement". (Kelly, Francis B., Affidavit, January 13, 2005, Item 3).
- 21. I filed a Complaint on July 23, 1997, captioned *Colbert v Cincinanti Gas & Electric and International Brotherhood of Electrical Workers*, U.S. District Court, Southern District of Ohio, Case No. C-1-97-685, in which I alleged a hybrid Section 301 action, charging my employer, CG&E, with breach of the collective bargaining agreement and the Union, IBEW, with breach of the Union's duty of fair representation.
- 22. Both Defendants, CG&E and IBEW were granted summary judgments on February 8, 1999 because the Judge said I failed to produce evidence to support my

Complaint. (Doc. 24 and Doc. 25, Judgment in a Civil Case, and Opinion, Case No. C-1-97-685).

23. Regarding the Union, the Court found, in its Opinion, as follows:

[T]he Union processed Plaintiff's grievance through the grievance procedure up to the point of arbitration. Although the Union determined that Plaintiff's claim lacked merit, it, nonetheless, took steps to protect Plaintiff's interest. To this end the Union agreed to withdraw its grievance only upon CG&E's agreement to consider Plaintiff for future promotions.

(Beckwith, Sandra S. Judge, Doc. 25, Judgment in a Civil Case, and Doc. 24, Opinion, Case NO. C-1-97-685).

- 24. There were no disciplinary charges lodged against me by the CG&E from August 26, 1997 through June 18, 1999, a total of 21 months according to the Company's records, in so far as the Company had notified either the Union or me.
- 25. I applied for an upgrade of my job to an open job at Brecon for a Groundsperson Driver "A" on or about May 17, 1999.
- 26. I believe that I qualified for this job because of my seniority at Brecon and because of the Company's condition stated on August 22, 1996, that "Mr. Colbert would need to reverse his trend of poor performance, accidents, etc. for a significant period of time of at least a year or more in order to be considered for promotion to a higher position".
- 27. Further, I was qualified to apply for this open position because the Company stated in a letter of March 31, 1997 that it would consider my application as follows:

[W]hen Mr. Colbert maintains a satisfactory work record for a significant period of time, the Company will consider him for future job openings in the Groundsperson Driver "A" job classification.

- 28. Bricking, my CG&E supervisor, refused to reply to my question as to why I did not get the job.
- 29. Lately, after I filed the case, Bricking at his Deposition on 12-10-04 said he could not consider my application for that job "because of a letter in my file".
- 30. Other than what the Company offered to the Union on March 31, 1997 as a condition of allowing me to apply for a return to my Groundsperson Driver "A" position, and the Union's acceptance of that condition on May 1, 1997, I know of no other letter in my file.
- 31. I believe that the Company has discriminated against me by offering a bogus reason for rejecting my application, refusing on or about May 17, 1999 to reply to me as to its refusal, and awarding that position to a white applicant.
- 32. I also believe that the Company breached its agreement with the Union which settled my grievance as to my Demotion at the Fourth Step Grievance Hearing, and thereby breached the collective bargaining agreement, Article 1, 9(e) with the Union by Bricking's refusal to consider my May 17, 1999 application and failing to notify the Union that they were not going to consider me for rehiring, since Article I, Section 9(e) requires that the Company "will discuss in advance with the representatives of the Union . . . rehiring of employee in all classifications governed by this Agreement . . .".
- 33. I asked the Union to grieve my rejected application of May 17, 1999 for Groundsperson Driver "A", made after 20 months of no disciplines entered by the Company in light of its conditional acceptance of the Company's offer in the Union's reply letter of May 1, 1997.

- 34. IBEW failed to grieve my denial of an upgrade application made on or about May 17, 1999, after 20 months without a disciplinary action recorded.
- 35. I believe that the Union's failure to grieve my rejected upgrade application, or or about May 17, 1999 without reason, is a breach of its fiduciary duty to me as a duespaying member to fairly represent me against the Company's breach of it conditional settlement of the Union's grievance of my Demotion.
- 36. I believe that by refusal to seriously consider by application of May 17, 1999, the Company rescinded its offer of March 31, 1997, and that my grievance was returned to the status it had at the Fourth Step Grievance Hearing.
- 37. The Union knew that I wanted to arbitrate my Demotion from Groundsperson Driver "A" and should have continued with the process immediately after the Company's breach of its conditional offer.
- 38. I believe that the Company's breach of its March 31, 1997 condition for my rehiring to Groundsperson Driver "A" is new evidence which alters the application of the Court's decision made on February 8, 1999.
- 39. I believe that the Company became arrogant in its treatment of me after the Court's Decision and that was the real reason why it breached its agreement with the Union on or about May 17, 1999.
- 40. Based on the conditional withdrawal of my grievance over my demotion from the position of Groundsperson Driver "A", the Union ignored its duty of fair representation when the Company, by its agent, Bricking, refused to consider Colbert's upgrade based "on a letter in his file".

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- 41. I believe that the Company's breach of its March 31, 1997 offer to allow my reapplication for the Groundsperson Driver "A" position provides new evidence as to the Court's consideration of the §301 hybrid action in my Complaint against the Union and the Company, filed October 30, 2000.
- 42. I grieved a counseling over blowing decals off my truck, but the grieving got "caught up" on the Third Step.
- 43. My discipline history between June 18, 1999 and March 8, 2000 was the following:

DATE	INFRACTON	DISCIPINARY ACTION
4/7/1995 7/7/1995 12/1/1995 1/26/1996 5/30/1996 2/27/1997 7/23/1997 7/31/1997 8/26/1997 6/18/1999 7/1/1999 8/20/1999 8/27/1999	Overall poor work performance Inattentiveness to duties Poor work performance Purported weapon on company property Confrontation with a co-worker & equipment damage Poor work performance Absenteeism Absenteeism Insubordination Poor work performance Insubordination Inattentiveness to duties Leaving without permission Abusive Conduct	Demotion Oral Warning Oral Warning Oral Warning Written Warning Oral Warning Oral Warning Written Warning One-day Suspension Oral Warning Oral Warning Written Warning Oral Warning Oral Warning Oral Warning Written Warning Three-day Suspension
12/14/1999 3/15/2000	Overall poor work performance Insubordination	Five-day Suspension Discharge

(Bricking, Depo., 12-10-04, Exhibit 2 [Source: Appendix A Summary of Disciplinary Action - Ollen G. Colbert, Jr., Appendix 5, Brief of Defendant-Appellee, Director, Ohio Department of Job and Family Services, Court of Appeals, First Appellate District, Hamilton County, Ohio, Appeal No. C010410; Trial No. A0005948, selected dates for the years contested in Colbert's Amended Complaint, April 7, 1995 and March 8, 2000]).

44. I grieved the incident which involved following the pole truck, June 18, 1999, but I do not know the outcome because when I was dismissed, I had about three or four grievances pending.

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- 45. I grieved the Oral Warning received on 7/1/99 that referred to an incident of my not taking my keys from the truck and hanging them in the office at Brecon overnight. There was evidence among my notes that a grievance meeting was held on July 27, 1999, but no evidence of the next step in the grievance procedure which I asked for since I was not satisfied with the outcome.
- 46. I grieved the one-day suspension that I incurred as a result of not returning to work in the afternoon of August 25, 1999 following his participation in a United Way Tour.
- 47. The Company's action was contrary to and inconsistent with the Company's past practice of allowing employees who participate in a United Way Tour in the morning to take off the remainder of the day. As with the other grievances, the Union did not pursue this grievance beyond Step One, ignoring my request.
- 48. I grieved the three-day suspension meted out to me for using abusive and profane language toward Tom Sams, an administrator of CG&E at Brecon on October 15, 1999, combined with four days of tardiness. My grievance of this incident was left pending at the Grievance Hearing Meeting of March 2, 2000 due to my indefinite suspension earlier on March 2, 2000 and finally, left unresolved because of my dismissal on March 8, 2000.
- 49. I grieved my five-day suspension for absence as documented by a letter, dated December 14, 1999. Said letter refers to October 29, 1999, and three days of unpaid absence, November 3, November 15, and December 9, 1999. The Union held an initial meeting on this matter, postponed the second meeting twice, and thus this matter was pending, unresolved, at the time of my termination on March 8, 2000.
- 50. I was indefinitely suspended because I wanted to leave Brecon Heavy Equipment and Repair District at 11:00 a.m. to meet with my Union Representative

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before the Grievance Hearing began, scheduled for 1:30 p.m. in downtown Cincinnati.

The Grievance Hearing was on the matter of my three-day suspension for using abusive language toward Tom Sams on October 15, 1999.

- 51. Bricking called Kenny Miller, management, for consultation on this matter, and was advise to give a directive to me to work until 11:00 a.m., or be suspended. I asked for a vacation day and was refused and Bricking indefinitely suspended me for insubordination.
- 52. Bricking did not tell his supervisor Kenny Miller, that the reason that I wanted to leave my job early on March 2, 2000 was to be on time to meet with my Union representative prior to the meeting, which necessitated that I have time to shower, change my clothes, and drive from Sharonville to downtown Cincinnati through the construction on I-75 at the time.
- 53. I asked the Court to take judicial notice that the drive from Brecon Heavy Equipment and Repairs District, in Sharonville alone, to Cincinnati together with parking would take at least one hour on March 2, 2000, due to construction on that segment of construction of I-75.
- 54. I needed thirty minutes as a minimum of time to shower and change from my work clothes to my good clothes, which I believe the Court will find reasonable.
- 55. I also believe that I was acting reasonably to have permission to be allowed to quit my job that day my 11:00 a.m.
- 56. Bricking would not give me a definite answer. After I grieved this matter he states that he said "11:00 or 11:30 a.m".

- 57. Bricking was interested only in reporting my insistence on a time to leave to Kenny Miller, in order to get me terminated for insubordination, so that he did not report my real reason for my request which was to meet with my Union representative at 12:30 p.m. before the Grievance Meeting.
- 58. Bricking's report to Miller inferred that I was insubordinate, refusing to work. If that had been the case, I would not have reported to Brecon for work that day.s
- 59. Bricking's report misrepresented me and my intentions and caused the Company to put me on indefinite suspension for insubordination.
- 60. I did not refuse to perform any duties on the morning of March 2, 2000; I was simply asking when I could stop performing them so that I would be able to meet with my Union representative.
- 61. Since I had grievances pending regarding a three-day and a five-day suspension that the Company had given me, I believed it was important to meet with my Union representative before the Grievance Hearing on March 2, 2000 set up for 1:30 that day in downtown Cincinnati.
- 62. I offered to take a vacation day. Bricking refused to grant me one because I had not given 7 days notice. He had the power to grant my request and later falsely stated that I asked for one-half day vacation which he could not give.
- 63. I believe that Brinking violated my rights as an employee under the Agreement because this was an exceptional circumstance and I was allowed a vacation day under the Agreement, Article IV, Section 1(e) and I had a right to attend a grievance meeting and meet with my Union representative based on Article I, Section 9(d) and Article II, Section 1(c).

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- 64. As a result of Bricking's misrepresentations, on March 8, 2000, I was wrongfully dismissed, with several grievances pending, and therefore without due process applied to me according to CG&E'S progressive discipline policy.
- 65. I immediately grieved my dismissal from Cinergy/The Cincinnati Gas and Electric Co. The initial Grievance Hearing was March 8, 2004.
- 66. Subsequent meetings were held up to the time of the Third Step meeting after which I learned that the Union would not continue to represent me based on CG&E's letter recounting the evidence against me.
- 67. I asked the Union to take the matter to arbitration, pursuant to Article II, section 1(e) and Article II, section 2 of the Agreement. The Union declined.
- 68. I believe that CG&E discriminated against me because of my race because I am the only black man to have been terminated by Bricking, when all of the actions for which I was disciplined were also performed from time to time by white employees who were not disciplined at all or were not disciplined as severely.
- 69. Bricking looked the other way when, Ralph Kroger took company property out of the District, had an incident with the Company truck and got a DUI. In contrast I was disciplined for taking company property out of the District.
- 70. The history of my Company disciplines in 1999, as they were grounds of my termination in this case, demonstrates that these disciplines were given to me until after the U.S. District Court entered its decision, on or about February 8, 1999, on Case No. C-1-97-685, which I filed on October 30, 1997.
- 71. Based on this "quiet" period in my work history, I showed significant improvement in my work performance and therefore entitled to have my application

considered for Groundsperson Driver "A", or the Company used the Court's decision of February 8, 1999, as a justification for issuing multiple disciplines against me in order to eliminate me from their employment, a strict violation of my protected rights under 42 U.S.C. 2000(e).

- 72. I believed that Bricking was interviewed by the EEOC investigator regarding by EEO Complaint, filed on July 23, 1999, for Bricking's ignoring my application up Groundsperson Driver "A" on or about May 17, 1999. My basis for believing that he was interviewed by the EEOC, although he denied it in his deposition was because he was named in my Complaint.
- 73. I also believe that my EEOC Complaint of July 23, 1999 which reported his violation of my rights caused him to retaliate against me for a protected activity of reporting an unfair rejection of my application against my rights based on race as well as the Company's Agreement to consider my application for an upgrade in my job application for Groundsperson Driver "A" on or about May 17, 1999.
- 74. The basis of my belief that Bricking retaliated against me was that a comment made to me by a co-worker in early August, 1999, that the Company would fire me because I filed a EEOC complaint on July 23, 1999.
- 75. I believe that Bricking retaliated against me by accelerated use of the Company's progressive discipline policy against me between June 18, 1999, and March 2, 2000.
- 76. It appeared that he was always checking with lead personnel, such as Willie Wilson, an Afro American, to find something wrong with my performance.

- 77. It was a lie for Bricking and Miller and the Company to say that I had shown no improvement in the March 15, 2000 termination letter, since the Company's discipline record showed that since the "settlement" of my demotion at the Fourth Step Grievance Hearing, I had not contracted any recorded Company disciplines from August 26, 1997 through June 18, 1999.
- 78. Bricking used the progressive and constructive discipline policy of the Company as a pretext for having me discharged.
- 79. The history of the unswerving application of the progressive and construction discipline policy between June 18, 1999 and March 2, 2000, indicates an intent by the Company to discharge me by creating a "paper trail" in contrast with the perfunctory application of the policy from 1990 to June 18, 1999.
- 80. I grieved the pole truck incident that occurred on July 18, 1999 because the lead vehicle ran a red light and I did not believe I was to violated a law. The Union did not aggressively represent me on this matter.
- 81. I grieved the keys left in the truck incident based on pattern and practice in the Company, drivers left keys overnight all the time when the vehicle was left on Company property. The Union made no investigation to the best of my knowledge.
- 82. I grieved the "hot weather" incident the collective bargaining agreement allowed for shelter for cold weather, and I believed that by analogy I should be allowed time to cool off in excessively hot weather. The Union should have represented by rights under the intent of the cold weather clause in the collective bargaining Agreement.
- 83. I grieved the one-day suspension under pattern and practice for not returning to work the remainder of the day I took the United Way Tour, August 25, 1999.

- 84. I grieved the three-day suspension that I received for abusive language to Tom Sams after he used equally abusive language of me on October 25, 1999. I further objected to the Company including absences that were soley based on Bricking's subjective observations. The Union was in the process of representing me on this grievance when I was suspended indefinitely and then terminated.
- 85. I objected to the five-day suspension I received for further absences on December 14, 1999, in so far as several of those days were classified as unpaid leave. The Union was in the process of representing me on this grievance when I was suspended indefinitely and then terminated.
- 86. Bricking's attitude toward me from June 18, 1999 to March 2, 2000 created a hostile working environment because he was always in my face, attempting to micromanage me through interrogation of the lead persons that I was assigned to, such as Willie Wilson, who reported the "truck key" incident.
- 87. Bricking was upset that I filed an EEOC grievance against him, as my supervisor, and the agent of CGE, because of his ignoring my application for an upgrade to the position of Groundsperson Driver "A" and his subsequent intent was to terminate me through the use of interrogation of the lead person of the work crew as to my job performance and then to use the Company's progressive discipline policy against me.
- 88. I grieved every discipline because I felt that management was intent upon getting rid of me after my EEOC Complaint of July 23, 1999.
- 89. From the time the Company breached its condition with the Union by not considering my application to bid back the Groundsperson Driver "A" position which was open, on or about May 17, 1999, the Union was perfunctory in investigation of the

facts and circumstances of the Company's charges and therefore did not offer me fair representation on any of the disciplines with which I was charged from June 18, 1999 through March 2, 2000.

- 90. I believe that the Union should have arbitrated my demotion because the Union knew that my demotion was not correctly based on previous disciplines, but on safety factors regarding my use of the boom on the crane truck and that my termination was based on conflicting stories.
- 91. Where the cause is meritorious over a long period of time, the Union cannot suddenly reverse its position that my cause is not meritorious, without further evidence from Company that there was additional evidence either to demonstrate that the accident that occurred to a co-worker in March, 1995 occurred because of extraordinary carelessness on my part.
- 92. Between March 20, 1995, when I was notified of my demotion and May 1, 1997, I presented the Union with all the information and evidence that I had to show that I was unfairly demoted. The Company provided no new evidence. The Union, in good faith, cannot state that because I failed to produce additional evidence, they would not continue to represent me and my cause, because I wanted the Union to arbitrate my case.
- 93. Actually, the Company's breach of its offer in its letter of March 31, 1997, did present the Union with new evidence, that is, rescission of the Company's offer, had the Union wanted to represent my cause in good faith. Instead, the Union declined to represent me.

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- 94. The Union breached that fiduciary duty to me by deciding not to continue to represent me beyond the Third Grievance Hearing when I was terminated, even though there were conflicting statements given by Bricking and me which the Company first advanced in my behalf.
- 95. The Union knew that it was representing me on at least two grievances at the time that it was notified by the Company that I had been indefinitely suspended for insubordination. These were the grievances of October 25, 1999 and December 14, 1999.
- 96. The Union never asserted in my defense that the Company was violating my rights under Article I, Section 9(d) and under Article II, Section 1(a) to terminate me before I had time to grieve the disciplines arising from the incidents of October 25, 1999, December 14, 1999 and March 2, 2000.
- 97. I filed my EEOC Complaint on my wrongful termination, March 31, 2000. I received a Determination Letter giving me a right to sue in United States District Court on August 16, 2000.
- 98. I timely filed Complaint in United States District Court against Defendants on October 30, 2000.
- 99. I amended my U.S. District Court Complaint on May 2, 2003 to clarify that the Complaint constituted a §301 hybrid complaint against both the Company and the Union.

AFFIANT FURTHER SAYETH NAUGHT.

Ollen G. Colbert, Jr. Affiant and Plaintiff

Sworn to and subscribed before me, this 29 day of March, 2005.

NOTARY PUBLIC

My Commission expires: 4

ROSE ANN FLEMING
Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration
Section 147.03 R.C.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on 29th day of March, 2005, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

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